

TREX e-school with TurboRVB

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Lecture II : Jastrow correlated variational wavefunctions

The many body problem and Dirac's dream Slater determinant, BCS, AGP

× Variational wavefunction: Jastrow-Mean field

Parametrization and localized basis set
 Example on Hydrogen and Carbon molecules





$$H = -\sum_{I=1}^{N} \frac{\Delta_{i}}{2m} - \sum_{I,i} \frac{Z_{I}}{|\vec{r_{i}} - \vec{R}_{I}|} + \sum_{1 \le I < J \le M} \frac{Z_{I}Z_{J}}{|\vec{R}_{I} - \vec{R}_{J}|} + \sum_{1 \le i < j \le N} \frac{1}{|\vec{r_{i}} - \vec{r_{j}}|} - \sum_{i=1}^{N} \frac{\Delta_{I}}{2m_{I}}$$

After the invention of quantum mechanics, P. A. M. Dirac made the following observation: "The underlying physical laws necessary for the mathematical theory of a large part of physics and the whole of chemistry are thus completely known, and the difficulty is only that

the exact application of these laws leads to equations much too complicated to be soluble. It therefore becomes desirable that *approximate practical methods* of applying quantum mechanics should be developed, which can lead to an explanation of the main features of complex atomic systems..."

Born-Oppheneimer approximation $m_1 \rightarrow \infty$ The positions of the atoms are just input parameters

But it is not enough, after several attempts (e.g.DFT)



Electron coordinates i,j $\vec{\xi} = \vec{r_i}\sigma_i$ $x = \{\xi_1, \xi_2 \cdots \xi_N\}$

Atomic coordinates a,b $\vec{R}_1, \vec{R}_2, \cdots$

The random walk on x conserves the number N_{\uparrow} of spin-up electrons and the number N_{\downarrow} of spin down ones N= N_{\uparrow} +N_{\downarrow}

Therefore we set (TurboRVB conventions):

$$\sigma_i = \uparrow \text{ for } i \leq N_{\uparrow}$$

 $\sigma_i = \downarrow$ otherwise, i.e. $i = N_{\uparrow} + 1, N_{\uparrow} + 2, \cdots, N$



The wave function

$$\Psi(\vec{r}_1\sigma_1,\vec{r}_2\sigma_2,\cdots\vec{r}_{N_e}\sigma_{N_e})$$

satisfies (Pauli principle):

 $\Psi(\vec{r}_1,\sigma_1,\vec{r}_2\sigma_2,\cdots\vec{r}_i\sigma_i\cdots\vec{r}_j\sigma_j\cdots\vec{r}_{N_e}\sigma_{N_e}) = -\Psi(\vec{r}_1,\sigma_1,\cdots\vec{r}_j\sigma_j\cdots\vec{r}_i\sigma_i\cdots\vec{r}_{N_e}\sigma_{N_e})$

And we have to find the one such that:

$$(H\Psi)(\vec{r}_1\sigma_1,\vec{r}_2\sigma_2,\cdots,\vec{r}_{N_e}\sigma_{N_e}) = E\Psi(\vec{r}_1\sigma_1,\vec{r}_2\sigma_2,\cdots,\vec{r}_{N_e}\sigma_{N_e})$$

...and the one with minimum eigenvalue E





Within mean field the electrons do not interact

$$\begin{split} H &= \sum_{i} -\frac{1}{2} \nabla_{i} + V_{\text{Selfconsistent}}(\vec{r}_{i}, \sigma_{i}) \\ \text{One can diagonalize the mean-field Hamiltonian:} \\ H_{MF} &= -\frac{1}{2} \nabla + V_{\text{Selfconsistent}}(\vec{r}, \sigma) \\ H_{MF} \phi_{i}(\vec{r}, \sigma) &= \epsilon_{i} \phi_{i}(\vec{r}, \sigma) \quad \phi_{i}(\vec{r}, \sigma) = \begin{cases} \phi_{i}^{\uparrow}(\vec{r}) \delta_{\sigma, \uparrow} & \text{i} \leq N_{\uparrow} \\ \phi_{i}^{\downarrow}(\vec{r}) \delta_{\sigma, \downarrow} & \text{i} > N_{\uparrow} \end{cases} \\ \phi_{i}(\vec{r}, \sigma) \text{ are called "molecular orbitals"} \end{split}$$

and ϵ_i are the single particle energies



What are the eigenfunctions for N-electrons within mean-field?

Any product state of indipendent particles:

$$\begin{split} \Psi(\vec{r}_1\sigma_1, \vec{r}_2\sigma_2 \cdots, \vec{r}_N\sigma_N) &= \phi_1(\vec{r}_1\sigma_1) \times \phi_2(\vec{r}_2\sigma_2) \times \cdots \phi_N(\vec{r}_N\sigma_N) \\ \text{with energy:} \quad E = \sum_{i=1}^N \epsilon_i \end{split}$$

For bosons you can put all electrons in the same state $\epsilon_i = \epsilon_1 \forall i$ And this will be an allowed symmetric wf but fermions?



Mean-Field Slater determinant: Apply antisymmetrization to a product state

$$\Psi(\vec{r}_1\sigma_1,\vec{r}_2\sigma_2\cdots,\vec{r}_N\sigma_N) = \mathcal{A}\phi_1(\vec{r}_1\sigma_1) \times \phi_2(\vec{r}_2\sigma_2) \times \cdots \oplus \phi_N(\vec{r}_N\sigma_N) \quad \Rightarrow \quad E = \sum_{i=1}^N \epsilon_i$$

$$\Psi(\vec{r}_{1}\sigma_{1},\vec{r}_{2}\sigma_{2},\cdots,\vec{r}_{N_{e}}\sigma_{N_{e}}) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \phi_{1}(\vec{r}_{1}\sigma_{1}) & \phi_{2}(\vec{r}_{1},\sigma_{1}) & \cdots & \phi_{N_{e}}(\vec{r}_{1},\sigma_{1}) \\ \phi_{1}(\vec{r}_{2}\sigma_{2}) & \phi_{2}(\vec{r}_{2},\sigma_{2}) & \cdots & \phi_{N_{e}}(\vec{r}_{2},\sigma_{2}) \\ \vdots & \vdots & \vdots & \vdots \\ \phi_{1}(\vec{r}_{N_{e}}\sigma_{N_{e}}) & \phi_{2}(\vec{r}_{N_{e}},\sigma_{N_{e}}) & \cdots & \phi_{N_{e}}(\vec{r}_{N_{e}},\sigma_{N_{e}}) \end{vmatrix}$$



Hydrogen molecule

$$\phi_1(\vec{r},\sigma) = \delta_{\sigma,\uparrow}\phi_B(\vec{r})$$

$$\phi_2(\vec{r},\sigma) = \delta_{\sigma,\downarrow}\phi_B(\vec{r})$$



$$\Psi(\vec{r}_1\sigma_1, \vec{r}_2\sigma_2) = \frac{1}{\sqrt{2}} \begin{vmatrix} \phi_1(\vec{r}_1\sigma_1) & \phi_2(\vec{r}_1, \sigma_1) \\ \phi_1(\vec{r}_2\sigma_2) & \phi_2(\vec{r}_2, \sigma_2) \end{vmatrix} = \frac{1}{\sqrt{2}} (\delta_{\sigma_1,\uparrow}\delta_{\sigma_2,\downarrow} - \uparrow \leftrightarrow \downarrow) \phi_B(\vec{r}_1) \phi_B(\vec{r}_2) \end{vmatrix}$$

Hartree-Fock:
$$H_{MF} = -\frac{1}{2}\nabla + V_{\text{Selfconsistent}}(\vec{r}, \sigma)$$
 $E = 2\epsilon_B - V_{Hartree} + \frac{1}{|\vec{R_1} - \vec{R_2}|}$

$$V_{\text{Selfconsistent}}(\vec{r},\sigma) = -\frac{1}{|\vec{r} - \vec{R}_1|} - \frac{1}{|\vec{r} - \vec{R}_2|} + \int d^3 \vec{r'} \frac{1}{|\vec{r} - \vec{r'}|} \phi_B(\vec{r'})^2$$



Disaster of Mean-Field (HF) for H₂





Absence of correlation for large distance $\phi_{1s}(\vec{r}) = \frac{1}{\sqrt{\pi}} \exp(-r)$

$$\phi_B(\vec{r}) = \frac{1}{\sqrt{2}} \left[\phi_{1s}(|\vec{r} - \vec{R}_1|) + \phi_{1s}(|\vec{r} - \vec{R}_2|) \right]$$

Thus the orbital part is > 0 even when both electrons are on R_1 or R_2

$$\phi_B(\vec{r}_1) \times \phi_B(\vec{r}_2) \simeq \frac{1}{2\pi} \to \text{Wrong atomic contribution}$$



How we can get a better Slater determinant?

Take the Hydrogen Hamiltonian (Slater basis) at R_a : $H_{R_a} = -\frac{1}{2}\nabla - \frac{1}{|\vec{r} - \vec{R}_a|}$

The eigenstates of H_{Ra} form a complete set and a fortior if or a=1,2, \rightarrow

$$\phi_B(\vec{r}) = \sum_{i,a} c_{i,a} \phi_{i,a}(\vec{r})$$

Thus, by optimizing the coefficients c_{ia}, one can reach the best HF by large enough basis on a single atom, e.g.: 1s2s2p3s3p3d....



Similar arguments hold for Gaussian (GTO) basis:

$$\phi_{ia}(\vec{r}) = \begin{cases} Y_{l_i m_i}(\vec{n}) \exp(-Z_i |\vec{r} - \vec{R}_a|) & STO \\ Y_{l_i m_i}(\vec{n}) \exp(-Z_i |\vec{r} - \vec{R}_a|^2) & GTO \end{cases}$$

where $\vec{n} = (\vec{r} - \vec{R}_a)/|\vec{r} - \vec{R}_a|$ and Y_{lm} are spherical harmonics (in their standard real form adopted in TurboRVB)

But the same problem remains ... clearly.



The exact ground state of H_2 is a singlet:

$$\Psi(\vec{r}_1\sigma_1, \vec{r}_2\sigma_2) = \frac{1}{\sqrt{2}} (\delta_{\sigma_1,\uparrow}\delta_{\sigma_2,\downarrow} - \uparrow \leftrightarrow \downarrow) g(\vec{r}_1, \vec{r}_2)$$

where g is the so called "geminal function" $g(\mathbf{r}_1, \mathbf{r}_2) = g(\mathbf{r}_2, \mathbf{r}_1)$

if $g(\vec{r_1}, \vec{r_2}) = \phi_B(\vec{r_1}) \times \phi_B(\vec{r_2})$ we have the HF, but we can do much better



Basis :

Geminal power

$$\phi_{1,1} = \frac{1}{\sqrt{\pi}} \exp(-|\vec{r} - \vec{R}_1|) \quad \text{Bonding: } \phi_B = \frac{1}{\sqrt{2}} (\phi_{11} + \phi_{1,2})$$

$$\phi_{1,2} = \frac{1}{\sqrt{\pi}} \exp(-|\vec{r} - \vec{R}_2|) \text{ Antibonding: } \phi_A = \frac{1}{\sqrt{2}} (\phi_{11} - \phi_{1,2})$$

$$g_{HF}(\vec{r}_{1},\vec{r}_{2}) = \frac{1}{2}(\phi_{1,1}+\phi_{1,2})(\vec{r}_{1}) \times (\phi_{1,1}+\phi_{1,2})(\vec{r}_{2})$$

$$= \frac{1}{2}(\phi_{1,1}(\vec{r}_{1})\phi_{1,2}(\vec{r}_{2})+\vec{r}_{1}\leftrightarrow\vec{r}_{2})$$

$$+ \frac{1}{2}(\phi_{1,1}(\vec{r}_{1})\phi_{1,1}(\vec{r}_{2})+\phi_{1,1}(\vec{r}_{2})\phi_{1,2}(\vec{r}_{2}))$$

$$\leftarrow \text{Unwanted atomic}$$



We have the freedom to change each coefficient in the atomic basis expansion:

$$g(\vec{r_1}, \vec{r_2}) = \sum_{ia, jb} \lambda_{ia, jb} \phi_{ia}(\vec{r_1}) \phi_{jb}(\vec{r_2}) \leftarrow \text{Localized STO/GTO} \text{ exp.}$$

Provided the matrix λ is symmetric, i.e. by diagonalizing it:

Molecular orbital expansion:

 $g(\vec{r}, \vec{r}') = \sum_{I} \lambda_{I} \phi_{I}(\vec{r}) \phi_{I}(\vec{r}') \qquad \phi_{I} = \sum_{ia} c_{ia}^{I} \phi_{ia}$ e.g. For Heitler-London $\lambda_{1} = \lambda_{2} = 1 \ \phi_{1} \stackrel{ia}{=} \phi_{A} \ \phi_{2} = \phi_{B}$



$$\Psi(\vec{r}\sigma) = R_{nl}(r)Y_{lm}(\theta,\phi)$$

$$R_{nl} = \frac{\chi_{nl}(r)}{r}$$

$$H_l = -\frac{1}{2m}\partial_r^2 - Z/r + \frac{l(l+1)}{2mr^2}$$
$$(H_l\chi_{nl})(r) = E_n\chi_{nl}(r)$$



Exact solution for small r distance

 $\lim rU(r) \to 0$

$$H_{l} = -\frac{1}{2m}\partial_{r}^{2} - Z/r + \frac{l(l+1)}{2mr^{2}} + U(r)$$

$$\chi_{nl}(r) = Cr^{l+1}(1 + Ar + O(r^2))$$

$$A = -\frac{Zm}{1+l}$$



Electron-ion:

$$\frac{\Psi(\cdots\vec{r_i}\simeq\vec{R_J}\cdots)}{\Psi(\cdots\vec{r_i}=\vec{R_J}\cdots)} = 1 - Z_J |\vec{r_i}-\vec{R_J}| + O(|\vec{r_i}-\vec{R_j}|^2)$$

Electron-electron:

$$\frac{\Psi(\cdots\vec{r_i}\simeq\vec{r_j}\ \sigma_i=-\sigma_j\cdots)}{\Psi(\cdots\vec{r_i}=\vec{r_j}\ \sigma_i=-\sigma_j\cdots)} = 1 + \frac{1}{2}|\vec{r_i}-\vec{r_j}| + O(|\vec{r_i}-\vec{r_j}|^2)$$





$$J(\vec{r}_1,\sigma_1,\vec{r}_2\sigma_2,\cdots\vec{r}_i\sigma_i\cdots\vec{r}_j\sigma_j\cdots\vec{r}_{N_e}\sigma_{N_e}) = +J(\vec{r}_1,\sigma_1,\cdots\vec{r}_j\sigma_j\cdots\vec{r}_i\sigma_i\cdots\vec{r}_{N_e}\sigma_{N_e})$$

$$\Psi(\vec{x}) = J(\vec{x}) \times \Psi_{MF}(\vec{x})$$
$$\vec{x} = (\vec{r}_1 \sigma_1, \vec{r}_2 \sigma_2, \cdots, \vec{r}_{N_e} \sigma_{N_e})$$

The simplest Jastrow



-N



$$\Psi(\vec{r}_{1}\sigma_{1},\vec{r}_{2}\sigma_{2}) = \frac{1}{\sqrt{2}} (\delta_{\sigma_{1},\uparrow}\delta_{\sigma_{2},\downarrow} - \delta_{\sigma_{1},\downarrow}\delta_{\sigma_{2},\uparrow}) J_{1}(\vec{x}) J_{2}(\vec{x}) g(\vec{r}_{1},\vec{r}_{2})$$

$$g(\vec{r}_{1},\vec{r}_{2}) = \phi_{B}(\vec{r}_{1})\phi_{B}(\vec{r}_{2}) \quad \text{Slater determinant}$$

$$g(\vec{r}_{1},\vec{r}_{2}) = \sum_{ia,jb} \lambda_{ia,jb}\phi_{ia}(\vec{r}_{1})\phi_{jb}(\vec{r}_{2}) \quad \text{better than above}$$

On a mesh already impossible for N=2, e.g on a mesh 512³ we have 512^6=144Pbyte (!)



Instead of taking the product of single particle function (leading to the Slater determinant) take the most generic two spin-1/2 fermion wf:

$$g(\vec{r}_1\sigma_1, \vec{r}_2\sigma_2) = -g(\vec{r}_2\sigma_2, \vec{r}_1\sigma_1)$$

Consider the product of N/2 electron pairs :

$$\Psi(\vec{r}_1\sigma_1, \vec{r}_2\sigma_2, \cdots, \vec{r}_N\sigma_N) = \mathcal{A}\prod_{i=1}^{N/2} g(\vec{r}_i\sigma_i, \vec{r}_{i+N/2}\sigma_{i+N/2})$$

= The Pfaffian of the NxN skew matrix: $M_{ij} = g(\vec{r}_1 \sigma_i, \vec{r}_j \sigma_j)$ i.e. N³ flops

[1] M. Bajdich, L. Mitas et. al. PRB 77, 115112 2008

Pfaffian



In most cases the Pfaffian \rightarrow Determinant

AGPs:

$$g(\vec{r}_i \sigma_i, \vec{r}_j \sigma_j) = g_S(\vec{r}_i, \vec{r}_j) \frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}}$$

AGPu:

$$g(\vec{r}_i\sigma_i,\vec{r}_j\sigma_j) = g_S(\vec{r}_i,\vec{r}_j)\frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}} + g_T(\vec{r}_i,\vec{r}_j)\frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}}$$





$$g(\vec{r}_{i}\sigma_{i},\vec{r}_{j}\sigma_{j}) = g_{S}(\vec{r}_{i},\vec{r}_{j})\frac{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}{\sqrt{2}} + g_{T}(\vec{r}_{i},\vec{r}_{j})\frac{|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle}{\sqrt{2}} + g_{T\uparrow}(\vec{r}_{i},\vec{r}_{j})|\uparrow\uparrow\rangle + g_{T\downarrow}(\vec{r}_{i},\vec{r}_{j})|\downarrow\downarrow\rangle$$

When parallel spins correlations matter \rightarrow Pfaffian is necessary

We will see that in C₂ these correlations are unexpectedly important



And this applies to the realistic electronic Hamiltonian...:

$$H = -\sum_{i} \frac{\Delta_{i}}{2} - \sum_{ij} \frac{Z_{j}}{\left|\vec{r}_{i} - \vec{R}_{j}\right|} + \sum_{i < j} \frac{1}{\left|\vec{r}_{i} - \vec{r}_{j}\right|} + \frac{Z_{i}Z_{j}}{\left|\vec{R}_{i} - \vec{R}_{j}\right|}$$

{R_i} are atomic classical coordinates within the Born-Oppenheimer approximation

$$\langle \vec{r}_1, \vec{r}_2, \vec{r}_3 \cdots \vec{r}_N | J | \mathrm{MF} \rangle = \exp \left| \sum_{i < i} u(\vec{r}_i, \vec{r}_k) \right| Pf[g(\vec{r}_i, \vec{r}_j)]$$

Given that, one can apply **Variational Monte Carlo** and compute all correlation functions by a statistical method

The general J | MF>

No further approximation required fully ab-initio (no U, no double counting)

All mean-field states

of a pairing function g

and Pfaffian (antisym)

can be written in

terms



We use a localized basis set for both u and g

$$g(\vec{r}\sigma,\vec{r}'\sigma') = \sum_{ia,jb} \lambda_{ia,jb}^{\sigma,\sigma'} \phi_{ia}(\vec{r})\phi_{ib}(\vec{r}')$$

$$u(\vec{r}\sigma, \vec{r}'\sigma') = \sum_{ia,jb} J_{ia,jb}^{\sigma,\sigma'} \phi_{ia}(\vec{r}) \phi_{ib}(\vec{r}') + u_{ee}^{\sigma,\sigma'} (|\vec{r} - \vec{r}'|) + u_{ei}$$

A huge number of parameters such that:

$$J_{ia,jb}^{\sigma,\sigma'} = J_{jb,ia}^{\sigma',\sigma}$$
$$\lambda_{ia,jb}^{\sigma,\sigma'} = -\lambda_{jb,ia}^{\sigma',\sigma}$$

1



The pairing function is $1\sigma_g(r)1\sigma_g(r') - 0.25 \ 1\sigma_u(r)1\sigma_u(r')$

But can accommodate 4 electrons per dimer!

The Jastrow can remove these crazy charge +4

Resonating valence bond (RVB)



In this theory the chemical valence bond is described as a singlet pair of electrons

$$\frac{1}{\sqrt{2}} \left(\uparrow \downarrow \rangle - |\downarrow \uparrow \rangle \right) \left[\Psi_a(r) \Psi_b(r') + a \leftrightarrow b \right]$$



spin up and spin down electrons in a spin singlet state *a* and *b* are nuclear indexes



The true quantum state of a compound is a superposition or resonance of many valence bond states. The superposition usually improves the variational energy of the state.

L. Pauling, Phys. Rev. 54, 899 (1938)

Linus Pauling

Example of RVB





two ways to arrange nearest neighbor bonds (Kekule' states)

<u>The rule</u>: two singlet bonds cannot overlap on the same Carbon atom otherwise two electrons feel a too large Coulomb repulsion.

The Jastrow can make this job as a generic u can satisfy the RVB rule





NB <u>no next-neighbor</u> valence bonds (frustrated)



Cartoon picture of the J|MF> wave function





Importance of the spin Jastrow factor



Only when the spin-quantization direction of the Jastrow is not parallel to the order parameter direction spin-wave fluctuations are possible, and the correct singlet is recovered. The exact solution of the Heisenberg model for large spin (spin-wave theory) has exactly the above form F.Franjic and S.S. Prog. Thor. Phys. **97** 399 (1997).





The atomic spin in a standard molecule Is screened and strongly reduced

In C₂ <u>this does not occur.</u> An extra binding is due to spin fluctuations interaction of atoms. It is hardly explained with a 4th bond picture.

The total spin square $\langle S^2 \rangle \sim 0.03 \rightarrow$ small spin contamination

The C₂ is the smallest Néel state in nature









In our picture $2\sigma_{\rm u}/3\sigma_{\rm g}$ is 65% / 35% occupied \rightarrow double bond picture is "more correct". The extra binding is just a correlated effect due to spin fluctuations. This explains also why bond length is larger vs HCCH

The C₂ is the smallest Néel state in nature [C. Genovese & S. Sorella JCP 153, 164301 (2020)]



TurboRVB

× <u>TurboRVB Quantum Monte Carlo package</u>

(just google search, or: https://people.sissa.it/~sorella) main developers:

- Sandro Sorella
- Kousuke Nakano
- Michele Casula
- Claudio Attaccalite
- Mario Dagrada
- Leonardo Spanu
- × Funding: TREX, PRIN, JSPS
- × <u>Computational support</u>

PRACE, CINECA Bologna Fugaku Japan SISSA















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